

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3980763
<b>Application Number:</b>	10801238
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2021
<b>Title of Invention:</b>	Public offering risk management
<b>First Named Inventor/Applicant Name:</b>	David Lawrence
<b>Customer Number:</b>	28062
<b>Filer:</b>	Nathaniel Levin/Edith Martin
<b>Filer Authorized By:</b>	Nathaniel Levin
<b>Attorney Docket Number:</b>	G08.147/U
<b>Receipt Date:</b>	22-SEP-2008
<b>Filing Date:</b>	15-MAR-2004
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 510
RAM confirmation Number	263
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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Appeal Brief Filed	G08147U_AppealBrief.pdf	137206	no	13
			947a2950fba983ce7af08b04b8a01e51c54e8860		
Warnings:					
Information:					
2	Miscellaneous Incoming Letter	G08147U_AppealBriefTransmittal.pdf	89125	no	1
			5684ea2121faf7f8fd8372ada8318f3e44a595b3		
Warnings:					
Information:					
3	Fee Worksheet (PTO-06)	fee-info.pdf	29680	no	2
			7b7d00248435c5cd7bd4b06c14777b469b58e0c0		
Warnings:					
Information:					
Total Files Size (in bytes):			256011		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.